

06/12/01
Jc984 U.S. PTO

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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	0136.0004C
First Inventor	Jeffrey L Diamond
Title	Structures, Window Protection Systems and Methods for Protecting Glass Panes During Storms
Express Mail Label No.	

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 46]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 9]
5. Oath or Declaration [Total Pages 2]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____/_____

Prior application information: Examiner: _____ Group / Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.


18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



or ☐ Correspondence address below

Name	27896				
Address	EPSTEIN, EDELL, SHAPIRO, FRIEDMAN & EITZ, LLC				
	1901 Research Blvd., Suite 400				
City	Rockville	State	MD	Zip Code	20850
Country	US	Telephone	(301) 424-3640	Fax	(301) 762-4056

Name (Print/Type)	Robert H. Epstein	Registration No. (Attorney/Agent)	24,353
Signature		Date	6/11/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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OX PATENT APPLICATIONS

EPSTEIN, EDELL, SHAPIRO, FINNAN & LYTLE, LLC
1901 Research Boulevard, Suite 400
Rockville, Maryland 20850-3164
(301) 424-3640

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09/878214
06/12/01

Assistant Commissioner for Patents
Washington, D.C. 20231

Atty Docket No.: 0136.0004C

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Jeffrey H. Diamond

For: Structures, Window Protection Systems and Methods
for Protecting Glass Panes During Storms

Enclosed are:

Utility Patent Application Transmittal (PTO/SB/05);
46 Pages of Specification (Letter Size);
9 Sheets of Drawings;
Declaration and Power of Attorney;
Fee Transmittal Form (PTO/SB/17;
Check No. 4925 in the amount of \$642.00; and
Return Receipt Postcard.

The fee has been calculated as shown below:

TOTAL CLAIMS:	<u>43</u>	-	20	=	23	SMALL ENTITY FEE:	\$355.00
INDEPENDENT CLAIMS:	<u>5</u>	-	3	=	2	Extra x \$9/18 =	\$207.00
						Extra x \$40/80 =	<u>\$ 80.00</u>
						TOTAL FEE DUE:	\$642.00

All correspondence regarding this application should be directed to EPSTEIN, EDELL, SHAPIRO, FINNAN & LYTLE, LLC at the above address.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 05-0460.

Respectfully submitted,



Robert H. Epstein
Registration No. 24,353

Hand-Delivered: 6-12-01

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ **642.00**)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Jeffrey L. Diamond
Examiner Name	
Group Art Unit	
Attorney Docket No.	0136.0004C

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: **05-0460**

Deposit Account Name: **Epstein, Edell & Retzer**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE						
Large Entity Code	Small Entity Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid	
101	710	201	355	Utility filing fee	355.00	
106	320	206	160	Design filing fee		
107	490	207	245	Plant filing fee		
108	710	208	355	Reissue filing fee		
114	150	214	75	Provisional filing fee		
SUBTOTAL (1)					(\$)	355.00

2. EXTRA CLAIM FEES

Total Claims	43	- 20** =	23	X	Fee from below	9.00	=	207.00
Independent Claims	5	- 3** =	2	X	Fee from below	40.00	=	80.00
Multiple Dependent Claims							=	

Large Entity Code		Small Entity Code		Fee (\$)	Fee (\$)	Fee Description
103	18	203	9			Claims in excess of 20
102	80	202	40			Independent claims in excess of 3
104	270	204	135			Multiple dependent claim, if not paid
109	80	209	40			** Reissue independent claims over original patent
110	18	210	9			** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)					(\$)	287.00


*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES									
Large Entity Code	Small Entity Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid				
105	130	205	65	Surcharge - late filing fee or oath					
127	50	227	25	Surcharge - late provisional filing fee or cover sheet					
139	130	139	130	Non-English specification					
147	2,520	147	2,520	For filing a request for ex parte reexamination					
112	920*	112	920*	Requesting publication of SIR prior to Examiner action					
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action					
115	110	215	55	Extension for reply within first month					
116	390	216	195	Extension for reply within second month					
117	890	217	445	Extension for reply within third month					
118	1,390	218	695	Extension for reply within fourth month					
128	1,890	228	945	Extension for reply within fifth month					
119	310	219	155	Notice of Appeal					
120	310	220	155	Filing a brief in support of an appeal					
121	270	221	135	Request for oral hearing					
138	1,510	138	1,510	Petition to institute a public use proceeding					
140	110	240	55	Petition to revive - unavoidable					
141	1,240	241	620	Petition to revive - unintentional					
142	1,240	242	620	Utility issue fee (or reissue)					
143	440	243	220	Design issue fee					
144	600	244	300	Plant issue fee					
122	130	122	130	Petitions to the Commissioner					
123	50	123	50	Processing fee under 37 CFR 1.17(q)					
126	180	126	180	Submission of Information Disclosure Stmt					
581	40	581	40	Recording each patent assignment per property (times number of properties)					
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))					
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))					
179	710	279	355	Request for Continued Examination (RCE)					
169	900	169	900	Request for expedited examination of a design application					
Other fee (specify)									
SUBTOTAL (3)					(\$)				

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type)	Robert H. Epstein	Registration No. (Attorney/Agent)	24353	Telephone	301-424-3640
Signature		Date	6/11/01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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